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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 1 9 2006

In re application of: DeWitt, Jr. et al.

Serial No.: 10/757,192

Filed: January 14, 2004

For: Method and Apparatus Providing Pre and Post Handlers

**Recording Events** 

35525
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Group Art Unit: 2111

Examiner: Raymond N. Phan

Attorney Docket No.: AUS920030543US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 16, 2006.

Louise Fay

#### TRANSMITTAL DOCUMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **ENCLOSED HEREWITH:**

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AG; and
- Our return postcard

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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## DOCKET NUMBER AUS920030543US1



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeWitt, Jr. et al.

Serial No: 10/757,192

Filed: January 14, 2004

Title: Method and Apparatus for Providing

Pre and Post Handlers for Recording

**Events** 

Group Art Unit: 2111

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

06/20/2006 SSITHIB1 00000041 090447 10757192

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The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

A fee of \$180.00 is believed to be required. The Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

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PTO/SB/(08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwood Courting A of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449/PTO application Number 10/757,192 JUN 1 9 2008 January 14, 2004 Filing Date DeWitt, Jr. et al. st Named Inventor INFORMATION DISCLOSURE STATEMENT BY APPLICATION Examiner Name Raymond N. Phan 2111 Art Unit AUS920030543US1 Attorney Docket No. Examiner **Document Number Publication Date** Name of Patentee or Pages, Columns, Lines, Where Initials MM-DD-YYYY **Applicant of Cited Document Relevant Passages or Relevant** Figures Appear 6,149,318 AA 11-21-2000 Chase et al. AB 6,185,671 B1 02-06-2001 Pentovski et al. AC 6,247,113 B1 06-12-2001 Jaggar AD 6,460,135 B1 10-01-2002 Suganuma ΑE 2003/0126590 A1 07-03-2003 Burrows et al. 01-11-2005 AF 6,842,850 B2 Ganapathy et al. FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, Where Examiner **Publication Date Foreign Patent Document** Name of Patentee or Relevant Passages or Relevant MM-DD-YYYY Initial **Applicant of Cited Document** Figures Appear NON PATENT LITERATURE

Initial		(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AG	MERTEN et al., "A Hardware Driven Profiling Scheme for Identifying Program Hot Spots to Support Runtime Optimization", IEEE, 1999, pp. 136-147.
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.